# Population genetic structure and genetic diversity of *Pipistrellus nathusii* along the Dutch coastline during the autumn migration period

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The current memo has a purpose to provide a (policy) summary of a paper that is currently in preparation (van Schaik et al, in prep).

#### Introduction

The development of offshore wind farms (OWF's) plays an important role in the Dutch energy transition to comply with the European aim to be climate neutral by 2050. There are however also concerns about the impact of (offshore) wind farms on biodiversity and protected species. The bat species Nathusius' pipistrelle (*Pipistrellus nathusii*) is one of these species of concern. This protected species is known to migrate from the breeding areas in eastern Europe towards their wintering areas in southern and western Europe. Some of them go as far as the UK and thus have to cross the North Sea during their migration (Russ, 2022, Lagerveld et al 2023). It cannot be ruled out that offshore wind developments at the southern North Sea may have a significant negative impact on this species (Leopold et al 2014). Within the Dutch Offshore Wind Ecological Programme (Wozep, executed by RWS) Nathusius' pipistrelle was therefore identified as priority species in studying the impact of the Dutch offshore wind development on bats.

The bat research programme within Wozep<sup>1</sup> includes various (sub)projects, all of which are aimed to fill important knowledge gaps and answer the overall research question: what is the relevance of the (presumed) mortality due to offshore wind farms for bats, in particular for Nathusius' pipistrelle population(s) crossing the southern North Sea. In order to perform an overall effect assessment, information is needed on:

- 1. The population size of the relevant source population(s)
- 2. The occurrence in space and time
- 3. The fatality risk of offshore wind turbines to bats

Limpens et al (2017) estimated that the relevant source population of Nathusius' Pipistrelle consists of approximately 275,000 individuals (bandwidth 25,000 – 2,000,000) of which approximately 40,000 individuals (bandwidth 100 – 1,000,000) may migrate over the southern North Sea. As the estimate was based purely on expert judgement in combination with the rather extensive confidence intervals, RWS felt the need to further investigate the population size. In addition, information on the population structure of Nathusius' pipistrelles residing and migrating through the Netherlands is lacking. Therefore, it is unknown whether individuals belong to one population, or that different (sub)populations are involved, each of which potentially needs to be treated as a separate entity for conservation purposes.

Population genetics can help characterize populations and movement dynamics. Modern techniques for analysing the genetic variation can help to get more insight into the population structure. It is possible to identify whether individuals belong to a large panmictic population, or whether the population is sub

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structured. Also, monitoring of genetic diversity metrics such as heterozygosity, allelic richness, and effective population size estimates can help infer population trends. Finally, it can also be used to learn more about the social structure and life history of species, e.g. the genetic relatedness of individuals sampled together.

#### Aim of the study

RWS has asked Wageningen Marine Research to execute a research project where genetic samples of Nathusius' pipistrelle found in the Netherlands are taken and analyzed aiming to answer the following research questions:

- 1. Is the (meta)population that migrates through the Dutch coastal provinces structured; can subpopulations be identified?
- 2. What is the 'effective population size' of the relevant (sub)population(s)?
- 3. Do females guide their offspring during migration?

#### Approach

During four consecutive years (2020-2023) between August and October WMR collected 448 genetic samples in the Dutch coastal provinces South Holland, North Holland and Friesland (Supplementary information: S5 Pnat Genotype Full - van Schaik et al, in prep), which were subsequently analysed and interpretated by the University of Greifswald to answer the research questions above. The results are summarized in a manuscript to be published in a scientific journal (target journal Conservation Genetics).

#### Results

It was found that the population is panmictic (not structured), with an effective population size estimate in the hundreds of thousands that cannot be distinguished from a population of infinite size (estimate: 198.229, confidence interval: 7721-infinite).

The effective population size represents the size of an idealised population that would experience the amount of genetic drift observed in the sampled population. In an infinitely sized population, any chance events, such as the unexpected mortality of some individuals prior to reproduction, will not change the allele frequency and expected heterozygosity of the population (i.e. all alleles will be inherited at the same frequency as they occur in the previous generation; known as Hardy-Weinberg equilibrium). In most large populations (>10,000), the overall genetic drift in allele frequencies is generally lower than the noise induced by sampling only a small fraction of the population, and thus the confidence interval of effective population size estimates from such populations nearly always includes infinity (e.g. Waples 2024). As such, the observed result where the confidence interval of the effective population size includes infinity is perhaps unsurprising, although the results would obviously have been different had the population been sub-structured.

Comparing the genetic diversity of samples of four consecutive years, two factors suggest an ongoing population decline; first, a subtle but consistent decline in allelic richness was observed (2.5% between the first and last year). Although not statistically significant, it nevertheless potentially represents a considerable decline in overall population size. Second, a marked trend towards heterozygote excess in both juvenile males and females was observed. When a population experiences a substantial reduction in size, allelic diversity is reduced faster than heterozygosity. As a result, the observed heterozygosity will temporarily be higher than would be expected given the observed number of alleles. If the population stabilizes, this excess will gradually disappear as the population returns to mutation-drift equilibrium (Cornuet & Luikart 1996). Thus, the heterozygote excess observed here, while again statistically insignificant, may point towards a recent population decline.

We did not find any parent-offspring pairs in our dataset, which included 30 box captures where adult females and juvenile bats were found roosting together, suggesting that juvenile bats do not follow their mothers during their first migration.

#### Relevance of the outcomes of this study for WOZEP

The results of this study indicate that Nathusius' pipistrelles residing or migrating through the Dutch coastal zone belong to a single panmictic population. Therefore, one population should be taken into account for management and in the overall effect assessment of offshore wind developments in the Netherlands. This implies that:

- 1. There is no need to consider various sub-populations as independent management units, which makes it easier to monitor the population trend.
- 2. Mortality in the Netherlands will affect the species throughout the entire catchment area of the investigated migratory pathway, and vice versa.

The current effective population size estimate puts the population at a similar order of magnitude as an earlier estimate of the population size based on expert judgement (Limpens et al. 2017). We have shown that it is plausible that the population is decreasing. This somewhat contradicts the most recent population trend estimate published by the CBS (Centraal Bureau voor de Statistiek), based on acoustic monitoring of standardized routes, which considers the population trend to be stable. However, it is widely acknowledged that inferring population trends from acoustic data is challenging (Marques et al. 2013), especially for migratory species, whose presence and activity may fluctuate strongly and may not be reliably characterized by incidental acoustic survey efforts.

Indeed, the published trend for *P. nathusii* fluctuates strongly from year to year (Figure 1), including several increases and declines that seem biologically implausible (i.e. a near doubling of the population from 2021 to 2022). Moreover, had the trend been analysed for 2015-2021 (ie. without 2022), the trend would have almost certainly been strongly declining.



#### Ruige dwergvleermuis

*Figure 1: Population trend Nathusius' pipistrelle 2015 – 2022 in the Netherlands (Zoogdiervereniging, 2023)* 

The genetic diversity metrics investigated here also have their limitations, but may provide a more robust signal then the method used thus far. Genetic diversity is only replenished through mutation, which is a comparatively slow process (hundreds/thousands of years for any given locus), and through immigration of novel genotypes from other populations. As a result, monitoring genetic diversity will generally be unsuitable to detect population growth over short timescales (years/decades), as this will not immediately lead to an increased diversity (i.e. genetic diversity will remain stable). However, given an initial population with a high standing genetic diversity as observed in *P. nathusii*, population declines

will most certainly lead to the gradual loss of the existing diversity. As such, monitoring efforts that track the overall genetic diversity of the population over time can provide reliable indications of population decline when they occur.

As Nathusius' pipistrelle is one of the species with the highest observed number of fatalities at wind energy facilities (Dürr 2023), it is probable that wind energy developments across the species' range contribute to the (likely) decline. Therefore, the population is likely vulnerable to additional mortality, for example as a result of future wind energy developments, both on land and at sea.

The fact that we found no mother-offspring pairs in the dataset suggests that migration behavior is likely to be innate (ie. follow a genetically pre-determined migratory vector), as observed in many migratory birds (e.g. Berthold 2001). The lack of active mother-offspring guidance does suggest that juveniles are able to complete their migration, even if their mother dies before or during the migratory phase. On the other hand, even if bats adjust their movements to avoid wind farms over the course of lifetime based on personal experience, it appears that juveniles are unlikely to learn that from 'experienced' adults. Thus, it is expected that each new offspring cohort will be vulnerable to wind farms. Indeed, field research shows that more juveniles are killed than adults compared to their relative abundance in the population. (Kruszynski et al 2021).

#### **Conservation Implications and Recommendations**

As summarized above, the observed population structure and potential declining trajectory of the population, represent a scenario in which genetic population monitoring can be used to detect substantial declines in population size, when they occur. As monitoring the population trend of the species remains very difficult through other methodologies (ie. counts, acoustic monitoring), genetics therefore represents an appealing proxy that can be used to infer the degree to which additional mortality due to wind turbines and other anthropogenic causes are affecting the population. We therefore advocate for the establishment of a recurring sampling and analysis scheme that tracks genetic diversity of the population using the methods established in this study.

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1	Diverse but declining: Population genetic structure and genetic
2	diversity of Nathusius' pipistrelle along the Dutch coastline during
3	the autumn migration period
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## 37 Abstract

38 Migratory bats are experiencing substantial increases in mortality risk from wind energy 39 developments, but data on their migratory behavior and population dynamics are often 40 lacking. Here, we develop a novel microsatellite panel for one such migratory bat 41 species, the Nathusius' pipistrelle (*Pipistrellus nathusii*), and apply it to 448 samples 42 collected at stopover sites along the Dutch coast during autumn migration over four 43 consecutive years. With this dataset, we assessed whether the population is 44 genetically sub-structured, characterize its current genetic diversity, and evaluate whether mothers guide their offspring during migration. We found that the population 45 46 is panmictic and diverse, with an effective population size estimate that cannot be 47 distinguished from infinite. However, we also observed a consistent decline in allelic 48 richness across the sampling period, as well as a heterozygote excess in individuals sampled as juveniles, both suggesting an ongoing population decline. We did not find 49 50 any parent-offspring pairs in our dataset, which included 30 box captures where adult 51 female and juvenile bats were found roosting together, suggesting that juvenile bats 52 do not follow their mothers during their first migration. Our findings provide an initial 53 characterization and baseline measure of genetic diversity for the Nathusius' pipistrelle 54 that can be used as a reference for subsequent studies and systematic efforts to 55 monitor the genetic diversity of the species. Given that monitoring population trends of 56 migratory bat species with traditional methods remains challenging, such tracking of 57 genetic diversity may offer a valuable proxy by which to observe substantial population 58 declines if they occur.

59

60 Keywords: bat conservation, Chiroptera, *Pipistrellus nathusii*, wind energy,

61 microsatellite, genetic monitoring

#### 62 Introduction

63 In order to protect threatened species, it is pivotal to identify and preserve their habitats (Hoffmann et al. 2008). For migratory species, this encompasses both their summer 64 65 and winter habitats, as well as the migratory routes between them (e.g. CMS 1979). For many migratory birds and bats, the rapid expansion of wind farms, especially along 66 67 coastlines, at sea, and in narrow landscape corridors are making these migratory 68 pathways increasingly more difficult to navigate. Indeed, it is estimated that hundreds 69 of thousands of bats are killed annually by wind turbines (Hayes 2013; Voigt et al. 70 2015; Măntoiu et al. 2020), with the highest fatality rates occurring in migratory species 71 during the migratory period (Kunz et al. 2007; Rydell et al. 2010). As such, there is an urgent need to assess the extent to which these developments threaten the viability of 72 73 the affected populations (Frick et al. 2017), and to characterize the migratory behavior 74 of these species in order to establish evidence-based action plans (Voigt 2020).

75 In Europe Nathusius' pipistrelle (*Pipistrellus nathusii*) is one of the species with 76 the highest observed number of fatalities at wind turbines (Dürr 2023). Female 77 maternity colonies of this small (6-10g) bat species are found throughout Central and Northeastern Europe (Russ 2022). Here, females give birth, often to two offspring 78 79 (Vierhaus 2004). While some populations at the southern edge of the range are 80 presumed to be sedentary, most populations migrate in a southwesterly direction in 81 autumn, sometimes in excess of 2000km (Alcalde et al. 2021; Vasenkov et al. 2022). 82 During summer, males establish and defend mating roosts along the migratory routes 83 (Gerell-Lundberg & Gerell 1994). In autumn, females and juveniles of both sexes join 84 males at these mating roosts to form temporary harems of up to a dozen individuals 85 for one or more days (Gerell-Lundberg & Gerell 1994). In winter, individuals hibernate

solitarily or in small groups in minimally insulated roosts (e.g. crevices in buildings,
stacks of firewood; Gebhard 1997; Russ 2022).

88 Over the past decade, acoustic monitoring, analysis of wind farm fatalities, and 89 telemetry studies have improved our understanding of the migratory behavior and 90 routes used by Nathusius' pipistrelle. A diversity of migratory pathways has been 91 identified or suggested across Europe (e.g. Russ 2022), notably including several that 92 cross both the Baltic and North seas, where wind energy activities are expanding 93 rapidly. Rydell and colleagues (2014) found that the species migrates across a broad 94 front, with activity concentrating along coastlines and large rivers, but also over open 95 sea throughout the Baltic and southeastern North Sea. Likewise, an increasing number of records from the United Kingdom (NNPP 2022) suggests that a proportion of the 96 97 population crosses the southern North Sea, as additionally supported by offshore 98 acoustic monitoring (Brabant et al. 2019, Lagerveld et al. 2021, 2023). An analysis of 99 wind turbine fatalities in Germany found that juveniles and female bats may be killed 100 at a higher rate, but did not find evidence that migratory individuals were at higher risk 101 than those from local populations (Kruszynski et al. 2022). Despite these advances, 102 the overall population size and population dynamics remain poorly characterized as 103 traditional census methods are inefficient due to the dispersed nature of the population 104 (Frick et al. 2017), and the ability to generate population trends from acoustic and 105 tracking data remains elusive. As a result, effect assessments that aim to understand 106 the potential risks posed by new wind farms cannot be adequately carried out.

107 The application of population genetic approaches can help characterize 108 population structure, trends and movement dynamics. At the most basic level, 109 population genetic analyses can establish whether the sampled individuals belong to 110 a large panmictic population, or whether the population is composed of genetically

111 differentiated sub-populations. Moreover, genetic diversity metrics such as 112 heterozygosity, allelic richness, and effective population size estimates can help infer 113 population trends (Schwarz et al. 2007; Willi et al. 2022). In this context, repeated 114 sampling of the population can be an especially powerful tool to reveal population 115 declines through losses in genetic diversity (Hoban et al. 2014) and increased genetic 116 drift. Such metrics can subsequently be incorporated into population viability analyses 117 to inform conservation measures (Frankham et al. 2014). Finally, kinship analyses can 118 help elucidate the social structure of a species, and can be used to assess the degree 119 to which individuals captured together are genetically related (e.g. Stumpf et al. 2017). 120 To date, the population genetic structure of Nathusius' pipistrelle has not been 121 investigated, and no marker panels for estimating and tracking temporal patterns of 122 genetic diversity exist.

123 Here, we present a novel panel of 21 microsatellite loci for Nathusius' pipistrelle, 124 and use it to investigate the population genetic structure and relatedness of 448 125 individuals sampled at nine coastal locations in the Netherlands between 2020 and 126 2023 during the autumn migration period. Specifically, we first investigated the genetic 127 sub-structuring of the collected samples using both Bayesian (Structure) and k-means 128 clustering approaches. We predicted that since individuals are mating in the sampled 129 stopover habitats, that the population will be unstructured, although cryptic population 130 structuring could still exist through assortative mating in sympatry. Subsequently, we 131 characterize the allelic richness, heterozygosity, F<sub>IS</sub> and effective population size 132 estimates of the sampled population, and compare estimates across years and between bat sex and age classes. Tracking such diversity metrices over time will help 133 134 evaluate the extent of the presumed ongoing population decline, although we did not 135 expect to observe a statistically significant decline within the four year period sampled

136 here. Finally, we investigated the pairwise relatedness of all samples and performed 137 parentage analysis to search for parent-offspring pairs across the entire dataset. We 138 hypothesized that since adult females and juveniles (sensu young-of-the-year) were 139 often recorded to roost together at the sampled stopover sites, juveniles might follow 140 their mothers during their first migration, as has been suggested at a more local scale 141 (<50km) between summer habitats and hibernacula in Natterer's bats (Stumpf et al. 142 2017). In this case, we would expect to recover mother-offspring pairs originating from 143 samples taken concurrently, especially amongst adult-juvenile pairs sampled 144 simultaneously from the same bat box. Moreover, if the two offspring raised by a 145 mother during a summer migrated together, we would expect that pairs of juveniles 146 sampled together would be related at the half- or full-sib level.

## 148 Material and Methods

#### 149 **Bat Capture and DNA Extraction**

150 A total of 448 Pipistrellus nathusii samples were collected (Table 1). Samples were 151 collected in nine forest patches distributed across three Dutch coastal provinces 152 (Friesland, North Holland and South Holland) between August and October over the 153 course of four years (2020-2023). Two different capture methods were used: box 154 captures and mist-netting. For the first, artificial bat boxes (Model types: Schwegler 155 2FN and 1FF, Vivara PL 01, and 'Boshamer' type 1 and 2) were checked for the 156 presence of *P. nathusii* during the day. If present, the bats were removed from the box, 157 processed, and returned to the box within 60 min of capture. This yielded 211 samples, 158 including 110 samples from 30 boxes where adult female and juvenile bats were 159 caught together from the same box. For the second method, mistnets (Ecotone, 160 Gdynia, Poland and Solida Safety Line, Helmstedt, Germany) and three-bank 161 harptraps (Faunatec Austbat, Victoria, Australia) were placed at night. Here, bats were 162 removed from the net or harptrap, processed, and released within 30 min of capture. 163 For all bats, processing involved measurement of forearm length, weight, and 164 determining the sex and age. Age was scored as either adult or juvenile (sensu Young-165 of-the-year; scoring as in van Schaik et al. 2015). Full capture information for each 166 individual can be found in the Table S5. All capture and sampling were performed 167 under license (Capture and handling: permit no. 2018-057682; DNA sampling: AVD248002016459 / VZZ-18-005 and AVD24800202114476 / VZZ-2021-001). 168

A 3-mm wing-punch was taken for genotyping (Wilmer & Barratt 1996). Wingpunch samples were preserved in 70% ethanol prior to DNA extraction. Total genomic DNA was extracted following a salting-out extraction method using 4M ammonium

acetate precipitation method. Extractions were eluted into 70µl of Low TE-Buffer, and
stored at -20°C prior to genotyping.

174

175	Table 1: Overview of the nine sampling sites, including the number of samples
176	collected at each site across the four year study period. Of the 448 samples, two failed
177	to amplify (from Wildrijk 2021, Kornwerderzand 2022), and the second sampling of
178	eight recaptures (see Table 3) were also removed, yielding a final sample size of 438
179	individuals.

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Province	Location	Lat/Long	2020	2021	2022	2023	Total
Friesland	Zurich	N 53.10 E 5.39		4			4
Fliesland	Kornwerderzand	N 53.07 E 5.34		15	42	26	83
	Noorderhaven	N 52.88 E 4.76	12	24	20	14	70
	Callantsoog	N 52.84 E 4.71	13	19	25	2	59
North Holland	Wildrijk	N 52.79 E 4.70	4	43	42		89
	Ananasbos	N 52.80 E 4.73				34	34
	't Zand	N 52.85 E 4.77	6				6
South	Hoek van Holland	N 51.99 E 4.12	30	6			36
Holland	Goeree	N 51.66 E 4.26				67	67
		Total	65	111	129	143	448

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## 183 Microsatellite development and genotyping

A microsatellite library was generated using next-generation sequencing (MiSeq Nano v2) based on a pooled sample containing equal DNA concentrations from eight samples (1 µg total weight; performed by Genoscreen, Lille, France). This resulted in 45,900 merged reads, which were screened for the presence of microsatellite repeat motifs, resulting in 169 potential primer pairs (QDD v3; Meglécz et al. 2010). Subsequently, 50 primer pairs were selected and ordered as unlabeled primers based on expected product length, compatibility of the annealing temperatures of the forwardand reverse primer, and the number of observed repeats in the microsatellite motif.

192 The ordered primers were first evaluated for successful amplification on a 193 pooled sample of six individuals. The 5µl PCR reactions contained 1 µl of DNA, 2.5µl 194 Type-IT PCR-mix (Qiagen), and 1.5µl of both primers diluted to 0.2µM. PCR reactions 195 consisted of an initial denaturation at 95° C for 5min, 35 cycles of denaturation at 95° 196 C for 30s, primer annealing at 58° C for 90s, and elongation at 72° C for 60s, and a 197 final elongation of 60min at 60° C. Products were evaluated on a 1.5% Agarose-gel 198 using GelRed® (Biotium Inc.) staining. Those that amplified successfully were 199 subsequently run for four individual samples (2 male, 2 female) using the same 200 procedure to evaluate potential diversity, secondary amplifications and consistency of 201 amplification. Thirty primers were subsequently ordered with a fluorescent label for 202 further testing.

203 Fluorescent primers were evaluated using the same PCR conditions and same 204 four individuals. In this round, 1 µl of product was combined with 9µl of Formamide and 205 0.2µl of GeneScan LIZ500 size standard (Applied Biosystems) and visualized using an 206 ABI3130 (Applied Biosystems). In addition to the 30 primer pairs described above, 60 207 primer pairs for microsatellite loci developed for other bat species that were available 208 as fluorescently labeled primers in our lab were similarly evaluated (Myotis bechsteinii: 209 van Schaik et al. 2018; *M. nattereri* and *M. daubentonii*: Stumpf et al. 2017; Other: 210 Castella & Ruedi 2000, Miller-Butterworth et al. 2002, O'Donnell et al. 2016). In total, 211 25 microsatellite loci (21 newly developed loci, 4 previously established for other species) were selected for analysis and divided into four multiplexes (Table 2). PCR 212 213 conditions and fragment analysis for multiplex amplification were identical to the

214 conditions described above for primer testing. Scoring of microsatellite loci was
 215 performed in Genemapper (v5; Applied Biosystems).

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## 217 Statistical analysis

All statistical analyses were performed in R (v4.3.2; R Core Team 2023) unless otherwise noted.

220 After initial scoring, raw fragment sizes and allele calls were plotted to check for 221 scoring mistakes and allele call consistency. Markers were evaluated for deviation from Hardy-Weinberg equilibrium (implemented in Pegas v1.3; Paradis 2010), and pairwise 222 223 index of association ( $\bar{r}_d$  using the pair.ia function in poppr v2.9.6; Kamvar et al. 2014) 224 was calculated to evaluate linkage disequilibrium (Agapow & Burt 2001). For both 225 tests, the P-value was adjusted for multiple testing using the Benjamini-Hochberg 226 method (Benjamini & Hochberg 1995). The frequency of null alleles was assessed 227 using the Brookfield method (Brookfield 1996), implemented in PopGenReport (v3.1; 228 Adamack & Gruber 2014). To confirm that the microsatellite panel was appropriate to 229 distinguish individual genotypes, we calculated the probability of identity between 230 siblings (P<sub>IDsibs</sub>; Waits et al. 2001). To check for recaptures, we searched for duplicate 231 genotypes using the mlg.id function in poppr (Kamvar et al. 2014). For recovered 232 duplicates, the second sampling of an individual was removed from the dataset prior 233 to further analysis.

Genetic population structure was inferred using two approaches. First, population sub-structuring was evaluated using a Bayesian clustering approach, implemented in Structure (v2.3.4; Pritchard et al. 2000). We evaluated a range of potential subpopulations (K) from 1 to 4, with 5 iterations per K, where each run consisted of a 200,000 step burn-in and a run length of 500,000 steps. We used an

239 admixture model, with uncorrelated allele frequencies between populations, without 240 using location information as a prior, and an individual alpha for each population (initial 241 alpha: 0.1). Support for the most likely number of clusters was estimated using the log-242 likelihood method, implemented in StructureSelector (Li & Liu 2018). Second, we 243 performed K-means clustering (Jombart et al. 2010), as implemented in adegenet 244 (v2.1.10; Jombart 2008). Here, BIC values were inspected for between 1 and 20 245 clusters with 100 PCs retained for initial inference. We subsequently used a 246 discriminant analysis of principal components (DAPC) to visualize the inferred clusters at the best K value, using the optim.a.score function to determine the number of 247 248 retained principal components for the visualization.

249 Genetic diversity metrics were generated for 1) the full dataset, 2) per sampling 250 year, and 3) between sex and age classes (ie. adult male, adult female, juvenile male, 251 juvenile female). For each dataset, the number of alleles per locus, the allelic richness, 252 expected and observed heterozygosity, and F<sub>is</sub> values were calculated using the 253 hierfstat package (v0.5-11; Goudet et al. 2005). For the second and third datasets, the 254 number of private alleles per class was calculated in PopGenReport (Adamack & Gruber 2014). Effective population size was estimated using NeEstimator v2 (Do et al. 255 256 2014) using the Linkage Disequilibrium method with a minimum allele frequency 257 threshold of 0.01 and a 95% confidence interval calculated using the parametric 258 method.

259 Pairwise relatedness was calculated in related (Pew et al. 2015) using the Wang 260 relatedness estimator (Wang 2002). In the initial analysis, we calculated the pairwise 261 relatedness of every pair of individuals in the dataset. Next, to test whether adult 262 females and juveniles residing in the same box were more related than average, we 263 then subset all adult female-offspring pairs caught together and compared their

relatedness to that observed across the whole population. Finally, to evaluate whether juveniles potentially migrate together with their half- or full-sibling, we similarly subset the cases where two or more juveniles were found residing in the same box.

Parentage analysis was performed in Cervus (v3.0.7; Marshall et al. 1998). All 267 268 adult males and females were considered as potential parents (82 males, 171 females) 269 and all juveniles (n=193) as potential offspring. We simulated 100,000 offspring, with 270 a 1% genotyping error rate, and 99.5% of loci typed. The estimated proportion of 271 candidate mothers and fathers sampled in the dataset was intentionally overestimated 272 (15%) to ensure that even potential pairs with several mismatches would be reported. 273 All pairs with a positive LOD score were evaluated and considered true parent-274 offspring pairs if they had 0 or 1 mismatches across all loci.

**Table 2:** Overview of the 21 microsatellite loci analyzed in this study. For each locus the following information is provided: locus name, the primer sequences (Forward / Reverse), the source and GenBank Accession number of the amplified fragment, the fluorescent label used (FI. label), the size range of the amplified fragment, which multiplex the locus was run in (Multiplex) along with the final primer concentration in the PCR (PCR Conc.), the repeat motif being amplified, the number of observed alleles (No. Alleles), the observed and expected heterozygosity (H<sub>e</sub> and H<sub>o</sub>), and the F<sub>is</sub> value per locus.

Locus	Forward / Reverse (5' - 3')	Source / Accession No.	Fl. label / Size range	Multiplex / PCR Conc.	Repeat Motif	No. Alleles	H。	He	Fis
DiNo00	F: TGACATCATTACCCTGCCGG	this study	FAM	3	(AGAT)7	20	0.849	0.859	0.013
F INdU3	R: TCTCAGGATGCAGTTTGTGGA	PQ641316	110-170	0.15µM					
	F: ACCCACTAATCTATCTTACCCATCC	this study	VIC	1	(AGAT) <sub>13</sub>	10	0.813	0.768	-0.058
FINAIZ	R: TCCCACTGCTGAAAGATGGA	PQ641317	90-120	0.1µM					
	F: ACCTCTAGTGCCTGAAAGACA	this study	FAM	1	(AC)3AT(AC)16	15	0.716	0.730	0.020
PINaTS	R: GTGAGAAGCCAAGTCCCACA	PQ641318	140-180	0.1µM					
	F: GGACAAGCCTTCAGCCAACT	this study	PET	2	(AAT) <sub>10</sub>	7	0.767	0.799	0.041
Plina lo	R: TTAGATGCAACCCAGGTGCC	PQ641319	160-210	0.22µM					
DiN-40	F: CCCATATGACCCAATGGCCA	this study	FAM	2	(AC) <sub>10</sub> (CA) <sub>6</sub> CG(CA) <sub>5</sub>	13	0.815	0.802	-0.015
Pina19	R: TGCCTCTGTTAGCCATATCTCAG	PQ641320	160-200	0.2µM					
DIN-00	F: TCACAGATCTGATGAGCCAGT	this study	PET	4	(AT) <sub>23</sub>	22	0.874	0.886	0.015
PINaZU	R: CAGGGTTTCCAACATGTGACA	PQ641321	140-210	0.45µM					
DIN-04	F: CCTCCTCTAGTCTTTGGAAGGG	this study	NED	1	(AC) <sub>20</sub>	22	0.879	0.866	-0.014
PINaz1	R: GCTGCAATCCCAGAACTCCT	PQ641322	160-210	0.2µM					
PiNa24	F: TACGTTGCTGTTTAGAATGACTAGT	this study	VIC	2	(AC) <sub>11</sub>	7	0.605	0.604	-0.001

	R: TTCATAAGGAAAGCAGGGCACT	PQ641323	180-200	0.22µM					
DiNo25	F: GCCTCAAATATCACTAGTGCTGC	this study	FAM	3	(ACT) <sub>13</sub>	13	0.685	0.689	0.008
FINAZO	R: CACATATGCGGGTCCCAGAT	PQ641324	170-210	0.25µM					
DiNo27	F: ACAGGGAACTCATAGTCTTGGC	this study	FAM	4	(AAAT) <sub>10</sub>	15	0.831	0.806	-0.030
FINAZI	R: GTTCCATGCCTGTGTCTGCT	PQ641325	180-220	0.05µM					
DiNo22	F: GGTGCTGTGAATGAGAAGGC	this study	FAM	1	(AC) <sub>18</sub>	15	0.861	0.882	0.025
FINdJZ	R: GACAGGTTGCAGTAGCTGGT	PQ641326	200-250	0.2µM					
PiNa33	F: ACCCTTCAGAGCATAGTTAAGGC	this study	PET	2	(AC) <sub>4</sub> CC(AC) <sub>11</sub>	15	0.856	0.883	0.032
1 11435	R: GAAAGCGACAGGAGAGGAGC	PQ641327	230-270	0.5µM					
DiNo35	F: GCACCTTTGAGCAACTGGTG	this study	VIC	3	(AC) <sub>21</sub>	40	0.918	0.924	0.008
T INd 33	R: CACTCCCTGAATTCCAGCAGA	PQ641328	200-240	0.3µM					
PiNa36	F: GTCTGGGCCTTTGGACTGAA	this study	PET	3	(AGAT)7	18	0.801	0.819	0.022
	R: CCTCAGGGTTAGAGTGCTGT	PQ641329	230-290	0.25µM					
PiNa38	F: ACCCAAGTAAGGAGCATGCA	this study	VIC	2	(AT)7	5	0.548	0.524	-0.044
1 11430	R: CAAAGTCGTCTTATATGCCGGA	PQ641330	240-260	0.22µM					
DiNa/5	F: CCACCGGCTGATCTAATTAGCA	this study	VIC	1	(AC) <sub>20</sub>	15	0.845	0.844	0.000
1 1144-5	R: TCAGGTTTACCAGAGCACGG	PQ641331	240-290	0.2µM					
DiNa/8	F: ATGTGACTAGGGCTGCTTGG	this study	FAM	2	(AC) <sub>17</sub>	17	0.884	0.879	-0.004
1 111040	R: ATCACAACCACTGGAGCATCA	PQ641332	280-320	0.45µM					
G6	F: GGCTTTTTGAAAAGACTGAGG	Castella & Ruedi 2000	PET	3	(GT) <sub>12</sub>	21	0.900	0.897	-0.002
00	R: ACATCAGCCAGTTCCTGTTC	AF203665	90-140	0.1µM					
	F: AATGAAGCAAAGAGAAACAATGG	O'Donnell et al. 2016	VIC	3	(AC) <sub>12</sub>	17	0.918	0.912	-0.005
CTONS	R: GTTTC-TGGAAACTTGGAAATGTGACC	KT013260	120-170	0.05µM					
	F: ACAGCTGCCAGGAATCTGAC	van Schaik et al. 2018	NED	4	(CA)7CG(CA)10	15	0.852	0.858	0.009
OTVIA	R: TGACCCAGTCTCCTCCAAAG	MG321325	170-210	0.1µM					
Mschreih3	F: AGCCAGGCACAGCTCAC	Miller-Butterworth et al. 2002	NED	4	(CA) <sub>19</sub>	34	0.920	0.917	-0.002
wschreid3	R: GTTTTC-TTTGGCATCTGAAGG	AY056590	240-300	0.25µM					

## 283 **Results**

#### 284 Marker characteristics

In total, 446 of the 448 samples were successfully amplified, with a maximum of one locus missing per individual (overall missing data: 0.05%). Four loci of the 25 loci that were included in the multiplexes could not be consistently scored or showed significant homozygote excess (Table S1), and were thus excluded from the analysis. None of the remaining 21 loci deviated significantly from Hardy-Weinberg equilibrium (Table S2), showed signs of null alleles (Figure S1), or were significantly linked (max  $\bar{r}_d$  = 0.035; Table S3). Summary statistics per locus are provided in Table 2.

292

## 293 Recaptures

294 We recovered 8 duplicate genotypes (Table 3). Given the low probability of identity 295 across all loci ( $P_{IDsibs} = 3.09 \times 10^{-10}$ ), these were considered recaptures of the same 296 individual. All recaptures occurred within the same sampling location, with 7 of 8 297 recaptures occurring in different years. Five of the 8 recaptured individuals were male, 298 of which two were captured from the same bat box in consecutive years (individuals 4 299 and 5; Table 3). One adult female was recaptured within the same year, 49 days after 300 initial capture (individuals 6, Table 3). No note was made of an existing hole or scar in 301 the wing tissue, suggesting the wing-punch had fully healed by the time of the second 302 sampling event.

303

Table 3 Overview of all individuals that were recaptured, as determined through
perfect genetic match of the samples, during the study. Location names correspond
to those given in Table 1.

Pair		Location	Capture	Date	Age	Sex	
			method	Dato	1.90		
	1	Noorderhaven	Box	25.08.2020	Ad	Male	
	1	Noorderhaven	Box	23.09.2021	Ad	Male	
	2	Hoek van Holland	Box	25.08.2020	Ad	Male	
	2	Hoek van Holland	Net	22.09.2021	Ad	Male	
	3	Callantsoog	Box	13.09.2020	Ad	Male	
	3	Callantsoog	Box	17.09.2021	Ad	Male	
	4	Wildrijk	Box*	10.10.2021	Juv	Male	
	4	Wildrijk	Box*	25.09.2022	Ad	Male	
	5	Wildrijk	Box*	09.09.2021	Ad	Male	
	5	Wildrijk	Box*	31.08.2022	Ad	Male	
	6	Hoek van Holland	Box	25.08.2020	Ad	Female	
	6	Hoek van Holland	Net	13.10.2020	Ad	Female	
	7	Hoek van Holland	Box	15.09.2020	Ad	Female	
	7	Hoek van Holland	Box	15.10.2021	Ad	Female	
	8	Noorderhaven	Box	23.09.2021	Juv	Female	
	8	Noorderhaven	Net	02.09.2023	Ad	Female	

307 \* denotes that the individual was captured from the same bat box both times

#### **Genetic diversity**

The Structure analysis showed highest log-likelihood support for a single population (K=1), with higher values of K only partitioning small fractions of individual ancestry into additional clusters (Figure S2). The k-means clustering analysis found highest support (lowest BIC value) for 3 clusters, however when visualized in a DAPC, the three clusters were not spatially segregated and formed a single cluster divided into equal thirds (Figure S2). Taken together, we therefore conclude that all samples likely belong to a single panmictic population.

317 Across the full dataset, we recovered a diverse (average alleles per locus = 318 16.95), and well-mixed (FIS = 0.001) population, that could not be distinguished from 319 an infinitely large population (N<sub>e</sub> = 198,229, range =  $7721-\infty$ ; Table 4). When we 320 subdivided the samples by sampling year, metrics were broadly similar over time, with 321 a subtle consecutive decline in allelic richness over the four year sampling period (from 322 12.816 to 12.489; Table 4). When considered per bat sex and age class, we observed 323 a notable heterozygote excess in both juvenile classes and homozygote excess in both 324 adult classes, although all four were statistically insignificant as indicated by the inclusion of 0 in the 95% confidence intervals (Table 4). 325

326

Table 4 Genetic diversity metrics for *Pipistrellus nathusii* captured along the Dutch
coastal provinces during autumn migration between 2020-2023. Metrics are provided
for the whole population (top line) as well as per year and per bat sex and age class.
Abbreviations: N, sample size; Na, average number of alleles/locus; K, allelic richness;
Priv All, number of private alleles, H<sub>o</sub>, observed heterozygosity; H<sub>e</sub>, expecteded
heterozygosity; F<sub>is</sub>±Cl, inbreeding coefficient with 95% confidence interval; N<sub>e</sub>±Cl,
effective population size with 95% confidence interval

Population	Ν	Na	K	Priv All	H。	H <sub>e</sub>	F <sub>is</sub> ±CI	N <sub>e</sub> ±CI
Full population	438	16.95	16.944	NA	0.816	0.818	0.001 (-0.009 - 0.011)	198229 (7721-∞)
Per Year								
2020	64	12.86	12.816	11	0.829	0.819	-0.013 (-0.033 - 0.007)	10350 (802-∞)
2021	106	13.86	12.605	17	0.813	0.819	0.007 (-0.009 - 0.021)	22881 (1898-∞)
2022	126	14.05	12.595	16	0.811	0.817	0.005 (-0.015 - 0.026)	∞ (2982-∞)
2023	142	14.24	12.489	12	0.816	0.818	0.001 (-0.012 - 0.018)	∞ (16385-∞)
Per Class								
Adult male	77	12.81	12.784	7	0.809	0.823	0.015 (-0.007 - 0.039)	∞ (3209-∞)
Adult female	168	14.81	13.035	20	0.807	0.816	0.012 (-0.005 - 0.027)	∞ (18863-∞)
Juvenile male	93	13.71	13.245	9	0.829	0.818	-0.015 (-0.033 - 0.007)	∞ (3829-∞)
Juvenile female	100	13.52	12.903	12	0.824	0.814	-0.014 (-0.032 - 0.008)	∞ (3800-∞)

#### 339 Pairwise relatedness and parentage

Average pairwise relatedness across the whole dataset was -0.002 (Figure 1a). The maximum observed pairwise relatedness across all pairs of individuals was 0.43, suggesting no direct parent-offspring or full-sib pairs. This was confirmed by the parentage analysis, which did not recover a single parent-offspring pair with less than 2 mismatches across all loci (see Table S4 for all pairs with positive LOD-score).

Similarly, no closely related pairs were observed when only considering the pairwise relatedness of adult females and juvenile bats that were captured together from the same box (n = 93; mean = -0.004; max = 0.179; Figure 1b). When comparing juvenile-pairs recovered from the same box, most pairs appeared similarly unrelated (mean = -0.0103; Figure 1c), however one pair was related at the half-sib level (relatedness: 0.2635; both juvenile females).

- Fig. 1 Histograms of observed pairwise relatedness for a) the entire dataset
  (n=95,703), b) across all potential mother-offspring pairs that were sampled from
  within the same bat box (n=93), and c) across pairs of juveniles sampled from within
  the same bat box (n=43)
- 356

357 a) b)





Pairwise relatedness



## 362 **Discussion**

363 Characterizing the migratory behavior, population dynamics and current genetic diversity of migratory species is urgently needed in the face of the existential risk posed 364 365 by the rapid expansion of wind energy developments across the world. Here, we 366 provide the first microsatellite marker panel for the Nathusius' pipistrelle, a migratory 367 bat species that is amongst the most frequently observed casualties at wind farms in 368 Europe. By employing this panel to a four year dataset of over 400 individuals sampled 369 along the Dutch coastline during the autumn migration period, we provide a first 370 baseline estimate of current genetic diversity and address several unresolved 371 questions regarding the population structure and migratory behavior of the species.

372

#### 373 **Population genetic structure**

374 We find no evidence of population sub-structuring or deviation from Hardy-Weinberg 375 equilibrium in our dataset, suggesting that all individuals that reside or migrate along 376 the Dutch coastline belong to a single panmictic population. These observations are 377 consistent with descriptions of long-distance male dispersal and establishment of mating territories along the migratory pathways (e.g. Petersons 2004), which result in 378 379 gene flow between populations from a wide summer catchment area. Based on ring 380 recoveries and proposed migratory pathways (Russ 2022), this suggests that the entire 381 Fennoscandian and Baltic region may effectively represent a single genetically 382 unstructured population. Similar patterns of weak population structuring have been 383 observed in two other European migratory bat species, *Pipistrellus pygmaeus* (Bryja 384 et al. 2009) and *Nyctalus noctula* (Petit & Mayer 1999). However, in *N. noctula*, weak 385 population structuring and limits to gene flow were observed in some populations, 386 possibly caused by geographic barriers (Petit & Mayer 1999). Further sampling and

analysis of Nathusius' pipistrelle across its distribution range and along other migratory
pathways are needed to evaluate whether similar patterns exist in this species.

389

#### **Genetic diversity and trend**

391 Overall, we observed a genetically diverse population, with an effective population size 392 estimate in the hundreds of thousands that cannot be distinguished from a population 393 of infinite size. Detecting population decline and genetic erosion in large populations is 394 notoriously difficult, and simulations have shown that even substantial demographic 395 declines may not be distinguished (Hoban et al. 2014). Nevertheless, the size and 396 diversity of the genetic population being investigated here may in fact be favorable to 397 detecting population declines, and we observed two indicators that may be indicative 398 of such recent population decline.

399 First, we observed a consistent decline in allelic richness over the four sampled 400 years. Microsatellite loci accrue variation (new alleles) over time through mutation, and 401 as a result large populations may be highly polymorphic at such loci, with many of the 402 alleles being present at very low frequencies. As such populations decline, these rare 403 alleles may readily drift to extinction, resulting in a reduction in allelic richness, but little 404 to no change in expected heterozygosity (Hoban et al. 2014). Such reductions in allelic 405 richness have been observed empirically for abundant marine fish species (Pinsky & 406 Palumbi 2014), where allelic richness was 12% lower on average in overfished 407 populations. In fact, large populations may stand to lose disproportionately large 408 amounts of allelic diversity, as illustrated by Allendorf et al. (2024), who calculated that 409 a reduction of Baltic herring populations from 31 billion to 9 billion individuals could be 410 expected to reduce the number of alleles in the population by approximately 70% if the 411 population were to remain in drift-mutation equilibrium. While the Nathusius' pipistrelle

412 population present in Northern Europe almost certainly does not total in the billions, it 413 has likely accrued a substantial amount of genetic diversity over the course of several 414 centuries. Thus, while the difference in allelic richness observed here is still subtle 415 (2.5% between the first and last year) and is not statistically significant, it nevertheless 416 potentially represents a considerable decline in overall population size that deserves 417 further investigation.

418 Second, we observed a marked trend towards heterozygote excess in both 419 juvenile males and females. As above, during rapid population declines both allelic 420 diversity and heterozygosity decline, but at different rates, with allelic diversity declining 421 more rapidly (e.g. Hoban et al. 2014). This results in a transient period where the 422 observed number of alleles is lower than the number of alleles expected under 423 mutation-drift equilibrium (ie. a heterozygote excess; Cornuet & Luikart 1996). Thus, 424 the heterozygote excess observed here, while again statistically insignificant, may 425 point towards a recent population decline.

426 Despite being categorized as a species where direct risk factors are likely 427 impacting the population (e.g. Meinig et al. 2020), robust population trends using traditional survey methods are rare. A TRIM-analysis conducted on box survey data 428 429 from North Rhine-Westphalia in Germany, suggests that the local population has 430 strongly declined since 2000 (Meinig et al. 2020). In acoustic surveys at offshore sites 431 in the North Sea, Lagerveld et al. (2023) found a significantly lower activity in 2020 432 than in the three years prior, but concluded that there was insufficient evidence to 433 definitively establish a decline. Therefore, the genetic indications of a potential decline observed here are broadly concordant with those based on other survey 434 435 methodologies, but a broad-scale and long-term monitoring that corroborates these 436 results is needed.

## 438 Mother-offspring guidance

439 We found no evidence for mother-offspring pairs across a sample of thirty boxes where 440 both adult females and juvenile bats were present together (n = 93 total potential pairs). 441 Indeed, no parent-offspring pairs were recovered across the entire dataset, strongly 442 suggesting that offspring do not migrate together with their mothers in this species. 443 Baerwald & Barclay (2016) reached the same conclusion for two migratory bat species 444 in North America (Lasiurus cinereus and Lasionycteris noctivagans), based on genetic samples taken from wind turbine fatalities. Instead, it appears plausible that Nathusius' 445 446 pipistrelles are born with a genetically pre-defined migratory vector, as observed in many migratory songbirds (Berthold 2001), and use the Earth's magnetic field to 447 448 navigate (Holland et al. 2006; Lindecke et al. 2021) towards their goal.

449 Nevertheless, juvenile bats may (additionally) use cues from other conspecifics 450 during migration, such as their siblings or other colony members. We found one pair 451 of juvenile females, out of 43 juvenile pairs that were caught simultaneously from the 452 same bat box, related at the half-sib level. However, we cannot establish whether these 453 individuals are maternally or paternally related, and thus cannot evaluate whether they 454 may have migrated together. Moreover, while there is consensus that most mothers 455 give birth to two offspring (reviewed in Vierhaus 2004), it is unclear whether these 456 individuals are related at the full-sib or half-sib level, or a mix of both. Regardless, it 457 does not appear to be a widespread phenomenon, as the vast majority of juveniles 458 was not found with related conspecifics. The use of social cues from non-directly 459 related conspecifics would be impossible to detect genetically. Acoustic surveys have 460 shown a high concentration of Nathusius' pipistrelle activity along coastlines during the 461 migratory period (Ahlén et al. 2009, Ijäs et al. 2017), suggesting that juvenile bats could potentially locate and use cues from unrelated conspecifics during migration at such
landscape features. However, Baerwald & Barclay (2016) found no evidence that wind
turbine fatalities originated from the same areas, using stable isotope analysis.

465

## 466 **Conservation Implications and Recommendations**

467 Assessing the threat posed by anthropogenic change to environments requires a 468 fundamental understanding of the biology and population dynamics of the affected 469 species. The establishment of a microsatellite panel for the Nathusius' pipistrelle has 470 allowed us to contribute to several outstanding questions. For one, the lack of 471 population sub-structuring in the individuals that migrate along the Dutch coastline 472 means that the population can be managed as one entity. This is fortunate, as it means 473 that methods such as acoustic monitoring and telemetry can be applied without 474 caveats regarding the unknown population assignment of observed individuals.

475 Next, our findings, combined with those of previous studies, suggest that 476 juvenile bats migrate according to an innate migratory vector, perhaps in combination 477 with some social cues from unrelated conspecifics. The lack of mother-offspring 478 guidance implies that juveniles will not immediately face higher mortality risk if their 479 guide (mother) is killed along the migratory route. However, if migratory behavior is 480 genetically pre-defined, juveniles may be highly susceptible to mortality at wind farms 481 development placed along important landscape features (e.g. coastlines) in the vector 482 direction that they instinctively follow.

Perhaps most importantly, our study highlights the feasibility of genetic methods as a monitoring tool, that may allow for inference regarding the population dynamics of a species that is otherwise very difficult to monitor accurately. Systematic genetic monitoring of trends in allelic richness and other diversity metrics, coupled with forward

487 genetic simulations of varying scenarios of population size and decline, could provide indispensable insights into the trajectory of the population. Similarly, analysis of 488 489 historical samples (ie. collections of carcasses from wind-farm surveys, museum specimens), could provide valuable context regarding how genetic diversity has 490 491 already changed. While such genetic diversity monitoring will undoubtedly remain 492 comparatively crude, if declines are strong enough, they will provide irrefutable proof 493 of population decline that can contribute to evidence-based action plans that strive for 494 adequate species protection (e.g. more conservative curtailment regimes during 495 migration). We hope that this work can act as a baseline reference of genetic diversity 496 in the Nathusius' pipistrelle, and encourages further genetic monitoring of the species 497 throughout its range.

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## 714 Competing Interests

- 715 The authors have no relevant financial or non-financial interests to disclose.
- 716

## 717 Author Contributions

The study was conceived by J.vS., R.J., D.D., J.S., and S.L.; Sample collection was coordinated by K.S., and performed by K.S., RJ, DD, J.P.C.B., B.N. and S.L.; Labwork and statistical analysis were performed by J.vS. and S.S.; Funding acquisition and project management were performed by J.vS., J.S. and S.L.; The first draft of the manuscript was written by J.vS., and all authors commented on previous versions of the manuscript. All authors read and approved the final manuscript.

#### 725 Data availability

- 726 Newly developed microsatellite loci were deposited in GenBank under Accession
- Nos. PQ641316- PQ641332. Complete sample information and genotypes are
- available in Supplementary Table S5.